



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Karen M. Cheves et al. Confirmation No.: 5388  
Serial No.: 10/828,699 Examiner: Unknown  
Filing Date: April 21, 2004 Group Art Unit: 3763  
Docket No.: 1001.1705101 Customer No.: 28075  
For: TRACTION CUTTING BALLOON

**TRANSMITTAL SHEET**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. § 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Address", having an Express Mail mailing label number of: EV 314494825 US, in an envelope addressed to:  
Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 26th day of July 2004.

By Kathleen L. Bockley  
Kathleen L. Bockley

We are transmitting herewith the attached:

- ☐ Amendment  
☐ No additional claim fee required  
☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[XX] A check in the amount of \$130.00 is enclosed. Itemization:

Fee Code 1051 \$130.00

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

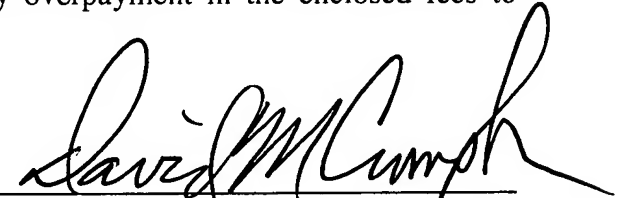
[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: RESPONSE TO NOTICE TO FILE MISSING PARTS, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, ATTORNEY RECOGNITION FOR POWER OF ATTORNEY UNDER 37 C.F.R. §1.32(c)(3), REQUEST FOR CORRECTED FILING RECEIPT, COPY OF FILING RECEIPT, INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449, AND 24 CITED NON-U.S. PATENT/PUBLICATION REFERENCES.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_



David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Karen M. Cheves et al. Confirmation No.: 5388  
Serial No.: 10/828,699 Examiner: Unknown  
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For: TRACTION CUTTING BALLOON

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

**CERTIFICATE UNDER 37 C.F.R. § 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Address", having an Express Mail mailing label number of: EV 314494825 US, in an envelope addressed to:  
Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 26th day of July 2004.

By

*Kathleen L. Boekley*

Kathleen L. Boekley

Dear Sir:

To complete the above-captioned application, please find enclosed the PART 2-COPY  
"TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b) – FILING DATE  
GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$130  
(surcharge). Permission is hereby granted to charge any deficiencies or credit any overpayment  
in the enclosed fees to Deposit Account 50-0413.

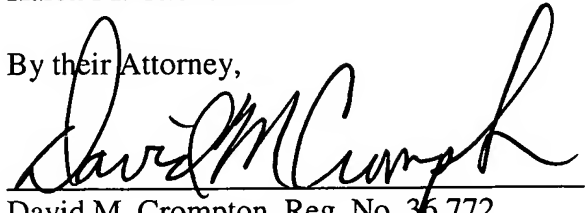
Appl. No. 10/828,699  
Response dated July 26, 2004  
Reply to Notice to File Missing Parts of June 28, 2004

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Karen M. Cheves et al.

By their Attorney,

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

Date: 7/26/04

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,699	04/21/2004	Karen M. Cheves	1001.1705101

28075  
 CROMPTON, SEAGER & TUFTE, LLC  
 1221 NICOLLET AVENUE  
 SUITE 800  
 MINNEAPOLIS, MN 55403-2420



CONFIRMATION NO. 5388

## FORMALITIES LETTER



\*OC000000013082230\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

07/28/2004 WABDELRI 00000126 10828699

01 FC:1051

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*Todur*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE